

**MASHANTUCKET PEQUOT TRIBAL NATION  
OFFICE OF LEGAL COUNSEL PRACTICE GUIDELINES  
ON SUBPOENAS IN CRIMINAL CASES**

1. The Mashantucket Pequot Tribal Nation ("Tribe") and the Mashantucket Pequot Gaming Enterprise ("Gaming Enterprise") may accommodate requests made in the form of a subpoena issued in a criminal proceeding in the U.S. district or state courts directed to the Tribe and/or the Gaming Enterprise provided that: (a) such subpoena is issued by the court or the clerk under seal of the court, or issued by a U.S. Magistrate Judge in a proceeding before that Magistrate Judge; (b) the request for production of any documents or other evidence specifically relates to patron Wampum Card activity or patron gambling activity, records of which are created and maintained in the ordinary course of business of the Gaming Enterprise and are limited to a relevant timeframe for such activities; (c) the subpoena provides the patron's full name, address, date of birth and social security number; and (d) such subpoena is delivered to the Tribe or the Gaming Enterprise as outlined below.
2. The subpoena shall be directed to the Tribal or Gaming Enterprise Office of Legal Counsel.
3. Manner of Delivery: Subpoenas may be delivered in person, by regular mail, certified mail, overnight delivery, or by facsimile.
4. Subpoenas requesting testimony will be considered on a case by case basis, but in general will be disfavored and discouraged. Records, documents or other evidence produced pursuant to this Guideline may be authenticated or certified by appending the attached Certificate of Authenticity to the letter transmitting the documents or objects. The Certificate of Authenticity will be signed by the appropriate department official providing the records, documents or other evidence.

5. Legal Counsel, being satisfied with the appropriateness of the form and scope of the subpoena, will then request such documents from the appropriate department. The department will return the documents to Legal Counsel, who will review the documents' responsiveness to the subpoena.

6. Legal Counsel will send the appropriate documents or other documents with a letter addressed to the originator including the following language: "The attached documents [or other evidence] are being provided pursuant to the judicial principle of comity. Provision of these documents shall in no way be deemed a waiver of the Mashantucket Pequot Tribal Nation's [or the Mashantucket Pequot Gaming Enterprise's] immunity from suit or consent to further participation in this proceeding."

**MASHANTUCKET PEQUOT TRIBAL NATION OFFICE OF LEGAL COUNSEL  
PRACTICE GUIDELINES ON REQUESTS FOR INFORMATION PERTAINING TO  
CHILD SUPPORT MATTERS**

1. The Mashantucket Pequot Tribal Nation (the "Tribe") has demonstrated its commitment to and priority of protecting the best interests of children by, among other things, enacting Title VI M.P.T.L. Ch.8, thereby recognizing the validity of and providing for the enforcement of income withholding orders for child support issued by a tribunal of another tribe or state without the necessity of filing a petition or registering the order with the Mashantucket Pequot Tribal Court. Based on the policy considerations of this tribal law, the Tribe and the Mashantucket Pequot Gaming Enterprise ("Gaming Enterprise") will accommodate requests for employee information pertaining to child support.
  
2. The Office of Legal Counsel or the originating agency or office may send the request for employee information directly to the appropriate Tribal or Gaming Enterprise department (such as Finance for payroll and income verification information, Human Resources for benefit information, and PRxN-TPA for health benefit information). The responding department or office will collect the relevant records and send them to the originating agency or office with a letter including the following language: "The Mashantucket Pequot Tribal Nation [or the Mashantucket Pequot Gaming Enterprise, an arm of the Mashantucket Pequot Tribal Nation], has received a request for employee information concerning a child support matter. The enclosed information is being provided pursuant to the principle of comity. This accommodation shall in no way be deemed a waiver of the Mashantucket Pequot Tribal Nation's immunity from suit or consent to further accommodation of such requests for information."